



Syllabus Course Program



Financial monitoring

Code and name of specialty

072 – Finance, banking, insurance and stock market

Institute

Institute of Education and Science in Economics,
Management and International Business

Educational program

Finance and banking

Chair

Accounting and finance (205)

Educational level

Bachelor

Type of discipline

Selective

Semester

7

Language of teaching

Ukrainian, English

Teachers, developers

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Author and co-author of more than 170 scientific and educational publications. Leading lecturer on the courses: "Introduction to the specialty", "Financial monitoring"

[Learn more about the teacher on the department's website](#)

General information**Abstract**

The discipline is aimed at forming students' basic concepts and terms related to the specialty and specialization;

Purpose and objectives of the disciplines

formation of students' systematic ideas about the content and conditions of future professional activity; familiarization with employment opportunities, the main requirements of potential employers; students' formation of basic concepts and terms related to specialty and specialization.

Format of classes

Lectures, practical classes, consultations. Individual task in the form of a calculation task. Final control - exam.

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Competences

GC 01. Ability to abstract thinking, analysis and synthesis.

GC 02. Ability to apply knowledge in practical situations.

GC 07. Ability to learn and master modern knowledge.

GC 13. The ability to realize one's rights and responsibilities as a member of society, to realize the values of a civil (free democratic) society and the need for its sustainable development, the rule of law, the rights and freedoms of a person and a citizen in Ukraine.

SC 01. The ability to investigate trends in economic development using the tools of macro- and microeconomic analysis, to evaluate modern economic phenomena.

SC 02. Understanding the peculiarities of the functioning of modern global and national financial systems and their structure.

SC 12. The ability to apply theoretical knowledge and practical skills in conducting credit and settlement and currency operations on the world market when managing commercial banks, ensuring their financial security based on risk assessment and monitoring activities during the implementation of business projects.

Learning outcomes

LO 02. Know and understand the theoretical foundations and principles of financial science, the peculiarities of the functioning of financial systems.

LO 03. Determine the peculiarities of the functioning of modern world and national financial systems and their structure.

LO21. Understand the requirements for activity in the specialty, due to the need to ensure the sustainable development of Ukraine, its strengthening as a democratic, social, legal state.

LO22. Know your rights and responsibilities as a member of society, understand the values of a free democratic society, the rule of law, the rights and freedoms of a person and a citizen in Ukraine.

LO24. To have an analytical apparatus for managing the functioning and development of a commercial bank when carrying out credit and settlement and currency operations, ensuring its financial security based on risk assessment and constant monitoring and analysis of the implementation of business projects.

Student workload

The total scope of the discipline is 150 hours (5 ECTS credits): lectures – 32 hours, practical activities – 32 hours, self-study – 86 hours.

Course prerequisite

To successfully complete the course, you must have knowledge and practical skills in the following disciplines: "Financial analysis", "Financial controlling", "Investment", "Financial planning and budgeting".

Features of the discipline, methods and technologies of education

Problem-based lectures are one of the most important elements of problem-based learning for students. Along with the consideration of the main lecture material, they provide for the establishment and consideration of a range of problematic issues of a debatable nature, which are not sufficiently developed in science and are of actual importance for theory and practice. Lectures of a problematic nature are distinguished by an in-depth argumentation of the taught material. They contribute to the formation of independent creative thinking in students, instill cognitive skills in them. Students become participants in scientific research and problem solving.

Discussions involve the exchange of opinions and views of participants on a given topic (issue), and also develop thinking, help form views and beliefs, develop the ability to formulate opinions and express them, teach to evaluate other people's proposals, to critically approach one's own views.

The case method is a method of analyzing specific situations, which makes it possible to bring the learning process closer to the real practical activities of specialists and involves consideration of problem situations in the process of studying educational material.

Presentations are speeches in front of an audience, used to present certain achievements, the results of the group's work, a report on the completion of individual tasks, project works. Presentations can be both individual, for example, the speech of one listener, and collective, that is, the speech of two or more listeners.

Program of educational discipline

Topics of lectures

Topic 1. History of formation of financial monitoring in Ukraine and foreign countries.

Topic 2. Ukraine's participation in the activities of international organizations.

Topic 3. Formation of the financial control system in Ukraine in the conditions of the transition to a market economy.

Topic 4. Elaboration of the concept of "laundering" of funds and legalization of income obtained through criminal means.

Topic 5. Determination of the need for international cooperation to combat the legalization of proceeds of crime, the financing of terrorism, the financing and proliferation of weapons of mass destruction.

Topic 6. Concept of financial monitoring, its types and significance.

Topic 7. Legislation in the field of financial monitoring. Sources of legal regulation of financial monitoring.

Topic 8. International acts as a source of legal regulation in the field of financial monitoring.

Topic 9. Concept of the subject of financial monitoring.

Topic 10. International organizations in the field of financial monitoring.

Topic 11. State bodies in the field of financial monitoring. Authorized organizations in the field of combating the legalization of proceeds of crime and the financing of terrorism.

Topic 12. Internal financial monitoring.

Topic 13. Organization and methods of internal financial monitoring.

Topic 14. Control over the preservation of money and material assets: goals, tasks, legal basis, methods of implementation, typical mistakes.

Topics of practical classes

Topic 1. History of formation of financial monitoring in Ukraine and foreign countries.

Topic 2. Ukraine's participation in the activities of international organizations.

Topic 3. Formation of the financial control system in Ukraine in the conditions of the transition to a market economy.

Topic 4. Elaboration of the concept of "laundering" of funds and legalization of income obtained through criminal means.

Topic 5. Determination of the need for international cooperation to combat the legalization of proceeds of crime, the financing of terrorism, the financing and proliferation of weapons of mass destruction.

Topic 6. Concept of financial monitoring, its types and significance.

Topic 7. Legislation in the field of financial monitoring. Sources of legal regulation of financial monitoring.

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Topics of laboratory works

Laboratory work within the discipline is not provided.

Self-study

The course involves completing an individual assignment – a calculation task on the problematic issues of the course. The result of the essay is formalized in a written report and a presentation is prepared. Students are also recommended additional materials (videos, articles) for independent study and analysis.

Literature and Literature and educational materials

the main ones:

1. On the approval of the Regulation on implementation of financial monitoring by subjects of primary financial monitoring, state regulation and supervision of whose activities is carried out by the Ministry of Justice of Ukraine: Order to the Ministry of Justice of Ukraine dated September 14, 2021 under N 1210/36832. http://search.ligazakon.ua/l_doc2.nsf/link1/RE36832.html
2. Lima Declaration of Guiding Principles of Public Finance Audit. Access mode: <http://old.ac-rada.gov.ua/control/main/uk/publish/article/140217#:~:text>.
3. Order of the Ministry of Justice of Ukraine dated September 10, 2021 No. 3201/5 "On the approval of the Regulation on the implementation of financial monitoring by primary financial monitoring entities, the state regulation and supervision of whose activities is carried out by the Ministry of Justice of Ukraine"
4. Order of the Ministry of Justice of Ukraine dated 10.06.2021 No. 2112/5 "On some issues of the application of influence measures by the Ministry of Justice of Ukraine", registered in the Ministry of Justice of Ukraine on 11.06.2021 under No. 790/36412, 791/36413
5. Order of the Ministry of Finance of Ukraine dated January 29, 2016 No. 24 "On approval of accounting forms and submission of information related to financial monitoring, and instructions for filling them out"
6. Order of the Ministry of Justice of Ukraine dated 09.12.2020 No. 4248/5 "On approval of the Instructions for the preparation of materials on administrative

Additional literature:

1. Mardus N.Y., Brik S.V. Theoretical and methodological aspects of the development of financial and economic systems // Financial and credit activity: problems of theory and practice: coll. of science pr. / head ed. A. Kuznetsova. - Kharkiv: UBS, 2020. - Vol. 3, No. 34. - P. 303-311.
2. Methodology for ensuring the development of the internal market of goods under conditions of openness of the national economy: monograph. DVNOZ "Prydnipro. State Academic Building and Architecture". - Dnipro: Helvetica, 2018. - 375 p.
3. Mardus N.Yu. Strategic directions for ensuring the development of the internal goods market // European vector of economic modernization: creativity, transparency and sustainable development: materials of the 10th Jubilee International. science and practice conf. (Kharkiv, May 27-28, 2020). Kharkiv: KhNUBA, 2020. P.409-411.
4. Mardus N.Yu. Assessment of the impact of economic and political factors on the development of the internal market of goods. Scientific economic magazine "Intellect XXI". 2018. Issue 5/2018. P. 71-75.

Assessment and grading

Criteria for assessment of student performance, and the final score structure

100% of the final grade consists of the assessment results in the form of: modular control paper No. 1 (verification of students' mastery of knowledge on topics 1-4) - 15%, modular control paper No. 2 (verification of students' mastery of knowledge on topics 5-8) - 15% , the final module work - 20%, the performance of the calculation task - 30%, the performance of homework for each of the topics covered in the discipline - 20%.

Grading scale

Total points	National	ECTS
90–100	Excellent	A
82–89	Good	B
75–81	Good	C
64–74	Satisfactory	D
60–63	Satisfactory	E
35–59	Unsatisfactory (requires additional learning)	FX
1–34	Unsatisfactory (requires repetition of the course)	F

Norms of academic integrity and course policy

The student must adhere to the Code of Ethics of Academic Relations and Integrity of NTU "KhPI": to demonstrate discipline, good manners, kindness, honesty, and responsibility. Conflict situations should be openly discussed in academic groups with a lecturer, and if it is impossible to resolve the conflict, they should be brought to the attention of the Institute's management.

Regulatory and legal documents related to the implementation of the principles of academic integrity at NTU "KhPI" are available on the website: <http://blogs.kpi.kharkov.ua/v2/nv/akademichna-dobrochesnist/>

Approval

Approved by

Date, signature

Head of the department

Oleksandr MANOYLENKO

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Guarantor of the educational
program

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