

## **COMPLIANCE OF AN ENTERPRISE**

In the report concludes that the compliance system is a competitive advantage of the enterprise, with each year its importance as a function of internal control and an integral element of the corporate governance system will grow, which will ensure the preservation and sustainable development of the corporation by increasing the efficiency of production and commercial activities.

Compliance develops as a purely utilitarian mechanism to control the degree to which the organization meets legal and regulatory requirements, which are increasingly complicated and tightened in the rapidly globalized world of transnational corporations and the rapid interpenetration of legal systems of different countries and international organizations. But the progress of compliance methodology leads to the fact that it is no longer necessary to study and track not just the norms and legal requirements, but also the institutions that generate them, in all their diversity, which includes both formal and informal institutions. The central aspect of compliance is the need to carefully evaluate and compare the regulatory impacts that certain norms have on certain activities of organizations. Estimating the costs that entails compliance with the standards is, in fact, one of the directions in measuring transaction costs of specification and protection of property rights. Compliance refers to those phenomena that originally originated within the Anglo-Saxon legal family. At the same time, in the context of the convergence of the legal systems of different legal families, the implementation of the norms of international law in national legal systems, the strengthening of the extraterritoriality of a number of national legal systems, automatically dismiss the experience and developments of other legal systems (in relation to the Ukrainian) related to other legal families (in relation to the Romano-Germanic) is a very reckless activity.

The above tendencies in the development of legal regulation pushed the states to search for the most successful methods (methods), the most effective practices that would allow both the state as a whole and its individual institutions (as well as national subjects of law) to increase their competitiveness at the international level, avoiding various risks, including risks associated with bringing to responsibility in the framework of international legal institutions, the risks associated with encumbrance and confiscation of property located abroad, the risks associated with imposing sanctions on national organizations that carry out activities outside the particular state, etc. The study guide allows students to obtain a set of knowledge, skills and skills to create a universal compliance program and manage it. The compliance program is designed for use in any regulatory area, including combating corruption and laundering of proceeds from crime, protecting personal data, countering discrimination, managing conflict of interest, customs and antimonopoly compliance. The book is intended for practicing professionals, students of law and economic educational institutions and students of MBA programs, as well as all those who would like to learn more about this relevant topic. The training manual addresses issues such as the definition of compliance risk, the relationship between compliance and corporate governance, the role of

the board of directors and senior management in the development of the «right» corporate culture, the relationship between compliance and ethics, various obstacles to effective compliance within organizations, and also elements of the compliance program.

The manual consists of several main sections in which the reader will be able to familiarize himself with the basics of compliance, elements of the compliance program, and an overview of key regulatory requirements. In the section on the basics of compliance, the reader will get an idea of the nature of this category, its principles and policies, the importance of investing in the development of compliance program and the corresponding corporate culture based on ethical values. This section will acquaint the reader with the history of the development of requirements for compliance management in Ukraine, Hungary and the US, as well as with key concepts in related areas of corporate governance and social responsibility. In the sections on the essence, structure and main component of the compliance of the industrial enterprise, each element of an effective compliance program is consistently considered using practical examples. The reader will be able to familiarize himself with specific strategies for managing various aspects of the program, including setting up a compliance unit and recruiting staff, conducting risk assessment and training, providing information channels and responding to the applicants' reports.

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