

SECURITIES MARKET COURSE SYLLABUS

Code and name of specialty	073 Management	Institute	Institute of Education and Science in Economics, Management and International Business
Program name	Management of Organizations and Administration	Department	Management and taxation
Type of program	Disciplines of free choice of the student of profile preparation	Language of instruction	English

Викладач

Uhrimova Iryna, *Iryna.Uhrimova@khipi.edu.ua*



Ph.D. in Economic Sciences, Associate Professor, Department of Management and Taxation, NTU "KhPI". Authored and co-authored over 70 scientific and methodological publications. Work experience - 20 years.

Courses: «Fundamentals of Management», «Fundamentals of Business Analysis», " Business Evaluation ", " Crisis Management»

GENERAL DESCRIPTION OF THE COURSE

Summary	The course covers knowledge of the structure of the financial market, securities and the functioning of the securities market; modern analysis tools used to assess the investment attractiveness of the use of securities are considered
Course objectives	formation of students' knowledge and skills on the basics of the securities market, evaluation of the properties of market instruments and mastering some techniques of evaluation and analysis
Types of classes and control	Lectures, workshops, consultations. Final control – test
Term	7

Student workload (credits) / Type of course	5 / selective	Lectures (hours)	20	Workshops (hours)	10	Self-study (hours)	120
--	---------------	-------------------------	----	--------------------------	----	---------------------------	-----

Program competencies	<p>GC 01. Ability to exercise their rights and responsibilities as a member of society, to realize the values of civil (democratic) society and the need for its sustainable development, the rule of law, human and civil rights and freedoms in Ukraine.</p> <p>GC 08. Skills in the use of information and communication technologies.</p> <p>SC 02. Ability to analyze the results of the organization, to compare them with the factors of external and internal environment.</p> <p>SC 09. Ability to work in a team and establish interpersonal interaction in solving professional problems.</p>
-----------------------------	--

SC 10. Ability to evaluate the work performed, ensure their quality and motivate the staff of the organization.
 SC 13. Understand the principles and norms of law and use them in professional activities.
 SC 2.1. Ability to collect and process primary accounting and management information in the service sector.
 SC 2.2. Ability to formulate the main tasks related to teamwork in IT organizations.

Learning outcomes	Teaching and learning methods	Forms of assessment (continuous assessment CAS, final assessment FAS)
LO 03. Demonstrate knowledge of theories, methods and functions of management, modern concepts of leadership.	Interactive lectures with presentations, discussions, practical classes, teamwork, case method, method of feedback from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO 04. Demonstrate skills to identify problems and justify management decisions.	Interactive lectures with presentations, discussions, practical classes, teamwork, case method, method of feedback from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO 05. Describe the content of the functional areas of the organization.	Interactive lectures with presentations, discussions, practical classes, teamwork	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO 06. To show skills of search, collecting, and analysis of information, calculation of indicators to substantiate management decisions.	Lectures with presentations, discussions, practical classes, teamwork, case method, feedback method from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO 08. Apply management methods to ensure the effectiveness of the organization.	Lectures with presentations, research methods, practical classes, project-based learning, teamwork, student feedback method	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO 09. To demonstrate interaction, leadership, and teamwork skills.	Interactive lectures with presentations, discussions, practical classes, teamwork, method of feedback from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO12. Assess the legal, social and economic consequences of the organization.	Interactive lectures with presentations, discussions, practical classes, teamwork, case method, method of feedback from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course
LO16. Demonstrate skills of independent work, flexible thinking, openness to new knowledge, be critical and self-critical	Interactive lectures with presentations, discussions, practical classes, teamwork, case method, method of feedback from students, problem-based learning	Written individual assignments, assessment of knowledge in practical classes, collection and processing of data and necessary information, defense of the abstract, credit in the form of an interview or testing on the course

ASSESSMENT AND GRADING

Ranges of points corresponding to grades	Total score (points) for all types of learning activities	ECTS grading scale	Оцінка за національною шкалою	Allocation of grade points
	90-100	A	excellent	
	82-89	B	good	
	74-81	C		
	64-73	D	satisfactory	
	60-63	E		
	35-59	FX	Unsatisfactory (with the exam retake option)	
	0-34	F	Unsatisfactory (with mandatory repetition of the course)	

100% final assessment in the form of test (40%) and current assessment (60%).
40% credit: oral defense of an individual calculation task
60% current rating:
25% assessment of completed tasks in practical classes;
25% attendance and active participation in lectures, research and presentations in small groups,
10% intermediate control

Course policy Students are expected to attend classes regularly, to get to class on time and stay for the duration of the class. In the case of absence, students will be required to submit all assignments to make up for the missed classes. Students are also expected to come to class having read all the required material and being ready to productively participate in the class discussions. Written assignments should be submitted before the specified deadlines.

COURSE STRUCTURE AND CONTENT

Lecture	Content	Practicals	Control Work	Self-study
Lecture 1	General characteristics of the securities market.	Practical 11	Modular control work №1	1) preparation for classes, including: - selection of the necessary sources of information (literature, Internet publications, regulatory framework); - elaboration of legislative and normative acts; - self-control of the processed questions and subjects of the curriculum 2) preparation for practical classes, including: carrying out the necessary calculations and their description, according to the individual calculation task, which includes: - selection of the necessary information (literature, Internet publications, regulatory framework) and their processing; - calculations and writing the text of individual work; - writing and defense of the abstract.
Lecture 2-3	Securities market instruments	Practical 12	Exchange options trading. Black Scholes model.	
Lecture 4	Securities market participants and their activities	Practical 13	Gordon's model. MOKA model.	
Lecture 5-6	Placement and circulation of securities	Practical 14	Fundamental and technical analysis of securities properties.	
Lecture 7	Derivative financial instruments	Practical 5	Methods of choosing the optimal portfolio of securities.	
Lecture 8	Financial settlements on securities			
Lecture 9	Evaluation of stock market components			
Lecture 10	Portfolio investment			

RECOMMENDED READING

Compulsory

1. Закон України «Про цінні папери і фондову біржу». Верховна Рада України; від 23.02.2006 № 3480-IV.
2. Закон України «Про державне регулювання ринку цінних паперів в Україні». Верховна Рада України; від 30.10.1996 № 448/96-ВР
3. Про інститути спільного інвестування (пайові та корпоративні інвестиційні фонди) : Закон України № 2299-III від 15.03.2001 р. [Електронний ресурс]. – Режим доступу : [http:// zakon.rada.gov.ua](http://zakon.rada.gov.ua).
4. Про обіг векселів в Україні : Закон України від 5.04.2001 р. № 2374-III. [Електронний ресурс]. – Режим доступу : [http:// zakon.rada.gov.ua](http://zakon.rada.gov.ua).
5. Фондовий ринок: операції з цінними паперами [Текст] : навч. посібник / О. Г. Мендрул, І. А. Павленко. - Київ : КНЕУ, 2000. - 156 с.
6. Ринок цінних паперів [Текст] : навч.-метод. комплекс / В. Г. Пасічник, О. В. Акіліна. - Київ : Центр навч. літ., 2005. - 168 с.
7. Силантьєв С. О. Менеджмент похідних фінансових інструментів Київський нац. екон.ун-т ім. В. Гетьмана. - К.: КНЕУ, 2010. - 279 с.
8. Еш Світлана Миколаївна. Фінансовий ринок - Київ : Центр учбової літератури, 2011. - 528 с.
9. Діденко В.М. Фондовий ринок: [навчальний посібник] / В.М. Діденко, М.М. Свердан, В.П. Булан, О.М. Булан, О.М. Грубляк; за ред. В.М. Діденка.- Чернівці: ТОВ «Видавництво Наші книги», 2010. – 624 с.
10. Фондовий ринок : підручник : у 2 кн. / В. Д. Базилевич [та ін.] ; ред. В. Д. Базилевич ; КНУ. - Київ : Знання, 2016. - 686 с.
11. Цінні папери. Практикум : навч. посібник / В. Д. Базилевич [та ін.] ; ред. В. Д. Базилевич ; КНУ. - Київ : Знання, 2013. - 791 с.

Recommended

12. Базилевич В.Д. Цінні папери: Практикум: Навчальний посібник /За ред. В.Д. Базилевича. – К.: 2013. – 791 с.
13. Підхомний О. М. Управління інвестиційними процесами на фінансових ринках. - К.: Кондор, 2003. - 184 с.
14. Reilly F, Keith C. (2002), Brown Investment Analysis and Portfolio Management 7th edition, Stamford: South-Western College Pub, p.1149.
15. Портфельне інвестування. Текст лекцій для студентів економічних і менеджерських спеціальностей усіх форм навчання / Кочетова Т.І., Коробков Д.В., Сотніков А.В. // Харків НТУ «ХПІ» 2013. – 96 с.
16. Черкасова С.В. Ринок цінних паперів і фондова біржа: Навчальний посібник для самостійного вивчення дисципліни. – Львів: Видавництво ЛКА, 2015. – 168 с.
17. Черкасова С.В. Фінансовий ринок : [навч. посібник] / С.В. Черкасова. – Львів: Магнолія 2006, 2014. – 330 с.
18. Fabozzi F.(2000), Investment Management, NJ: Prentice Hall International, p. 930.
19. Управління інвестиційними процесами на фінансових ринках : навч. посібник / О. М. Підхомний. - Київ : Кондор, 2003. - 184 с.
20. Graham B. (2003), Intelligent Investor, NY: Harper Collins Publishers, p. 672.
21. Фінансовий ринок та інвестиції : Зб. тестових вправ і завдань : навч. посібник / С. В. Науменкова, А. В. Андрєєв, Ф. О. Журавка. - Київ : Знання, 2000. - 213 с.
22. Фінансовий ринок : підручник / В. М. Шелудько ; КНУ. - 3-тє вид., стер. - Київ : Знання, 2015. - 535 с.

Academic integrity

Graduate students are expected to adhere to the Code of Ethics of Academic Relations and Integrity” of NTU “KhPI”.

The content of this syllabus is consistent with the course program.